

# Energy Efficiency Optimization For Two-way Relay Channels

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## Introduction

Due to the global warming and the operators' increasing operational cost, **energy efficiency (EE)** has drawn increasing attention and been viewed as a new optimization criterion for green wireless communication systems.

On the other hand, the wireless **two-way relay channel (TWRC)** is proposed to improve the system spectral efficiency (SE) as well as EE. EE optimization has been widely discussed in one-way transmissions, the basic ideas are mainly based on the convex-concave fractional programs. There are limited works considering the EE of TWRC, so this paper proposes an algorithm based on the **nested optimization** to solve it. Compared with optimizing by fractional programming directly, our algorithm has more insights on the relationship of the transmit power of different nodes and the constraints.

## System Model

Consider a TWRC consisting of two source nodes A and B exchanging information with the assist of a relay node R.

**System capacity:**  $C(P_A, P_B, P_R) = \frac{1}{2} [\min(C_A(P_A), C_B(P_B)) + \min(C_B(P_B), C_A(P_A))]$  where  $C_i(P) = W \log_2(1 + P\gamma_i)$ ,  $i \in \{A, B\}$ ,  $W$  is the bandwidth, and  $\gamma_i$  is the channel gain to noise ratios of the two channel from  $i$  to R.

**Power consumption model:**  $\frac{P_i}{\eta} + P_{c,i}$ ,  $i \in \{A, B, R\}$ .  $\eta$  is the power conversion efficiency,  $P_{c,i}$  is the circuit power.

**System EE:**  $EE(P_A, P_B, P_R) = \frac{C(P_A, P_B, P_R)}{\frac{1}{2\eta}(P_A + P_B + P_R) + P_c}$ ,

where  $P_c = \frac{1}{2} \sum_{i=A,B,R} P_{c,i}$  represents the system total circuit power.

As our objective is to maximize the EE, considering with node  $i$ 's power constraint  $P_{i,max}$ , the **optimization problem** can be expressed as

$$\begin{aligned} \max_{P_A, P_B, P_R} & EE(P_A, P_B, P_R) \\ \text{s.t.} & 0 \leq P_A \leq P_{A,max} \\ & 0 \leq P_B \leq P_{B,max} \\ & 0 \leq P_R \leq P_{R,max} \end{aligned}$$

In the following, we will solve the unconstrained EE optimization at first through nested optimization, then obtain the constrained solutions based on it.

## Unconstrained Optimization

Firstly, consider the unconstrained optimization problem  $\max_{P_A, P_B, P_R} EE(P_A, P_B, P_R)$ . Employ the nested optimization, rewriting it as

$$\max_{P_{total}} \frac{\max_{P_A, P_B, P_R} C(P_A, P_B, P_R)}{\frac{1}{2\eta} P_{total} + P_c}$$

Define  $C_0(P_{total}) = \max_{P_A, P_B, P_R} C(P_A, P_B, P_R)$ ,  $EE_0(P_{total}) = C_0(P_{total}) / (\frac{1}{2\eta} P_{total} + P_c)$ . Then we can express the unconstrained optimization problem as  $\max_{P_{total}} EE_0(P_{total})$ . We firstly try to get the close-form expressions of  $C_0(P_{total})$ .

**Lemma 1:** With fixed  $P_{total}$ , to maximize system capacity, the optimal solution must satisfy one of the following three situations:

$$P_A/P_R = \gamma_B/\gamma_A \text{ and } P_B/P_R < \gamma_A/\gamma_B; \quad (1)$$

$$P_A/P_R < \gamma_B/\gamma_A \text{ and } P_B/P_R = \gamma_A/\gamma_B; \quad (2)$$

$$P_A/P_R = \gamma_B/\gamma_A \text{ and } P_B/P_R = \gamma_A/\gamma_B. \quad (3)$$

**Lemma 2:** With fixed  $P_{total}$ , the optimal power allocation is:

$$\begin{aligned} 1. \text{ When } \gamma_B/\gamma_A < (\sqrt{5}-1)/2 \\ \text{and } P_{total} > \frac{\gamma_A^2 + \gamma_A\gamma_B + \gamma_B^2}{\gamma_A(\gamma_A^2 - \gamma_A\gamma_B - \gamma_B^2)} P_c = P_1 \end{aligned} \quad \begin{cases} P_A = \frac{\gamma_B}{2(\gamma_A + \gamma_B)} P_{total} - \frac{\gamma_B}{2\gamma_A(\gamma_A + \gamma_B)} \\ P_R = \frac{\gamma_A}{2(\gamma_A + \gamma_B)} P_{total} - \frac{1}{2(\gamma_A + \gamma_B)} \\ P_B = \frac{1}{2} P_{total} + \frac{1}{2\gamma_A} \end{cases}$$

$$\begin{aligned} 2. \text{ When } \gamma_B/\gamma_A > (\sqrt{5}+1)/2 \\ \text{and } P_{total} > \frac{\gamma_A^2 + \gamma_A\gamma_B + \gamma_B^2}{\gamma_B(\gamma_B^2 - \gamma_A\gamma_B - \gamma_A^2)} P_c = P_2 \end{aligned} \quad \begin{cases} P_A = \frac{1}{2} P_{total} + \frac{1}{2\gamma_B} \\ P_R = \frac{\gamma_B}{2(\gamma_A + \gamma_B)} P_{total} - \frac{1}{2(\gamma_A + \gamma_B)} \\ P_B = \frac{\gamma_A}{2(\gamma_A + \gamma_B)} P_{total} - \frac{\gamma_A}{2\gamma_B(\gamma_A + \gamma_B)} \end{cases}$$

$$3. \text{ In other situation,} \quad \begin{cases} P_A = \frac{\gamma_B^2}{\gamma_A^2 + \gamma_A\gamma_B + \gamma_B^2} P_{total} \\ P_R = \frac{\gamma_A\gamma_B}{\gamma_A^2 + \gamma_A\gamma_B + \gamma_B^2} P_{total} \\ P_B = \frac{\gamma_A^2}{\gamma_A^2 + \gamma_A\gamma_B + \gamma_B^2} P_{total} \end{cases}$$

**Theorem 1:**  $C_0(P_{total})$  can be expressed as follows upon different  $\gamma_B/\gamma_A$ :

$$1. \text{ When } \gamma_B/\gamma_A < (\sqrt{5}-1)/2, \quad C_0(P_{total}) = \begin{cases} C_c(P_{total}), P_{total} \leq P_1 \\ C_b(P_{total}), P_{total} > P_1 \end{cases}$$

$$2. \text{ When } \gamma_B/\gamma_A > (\sqrt{5}+1)/2, \quad C_0(P_{total}) = \begin{cases} C_c(P_{total}), P_{total} \leq P_2 \\ C_b(P_{total}), P_{total} > P_2 \end{cases}$$

$$3. \text{ When } (\sqrt{5}-1)/2 \leq \gamma_B/\gamma_A \leq (\sqrt{5}+1)/2, \quad C_0(P_{total}) = C_c(P_{total})$$

where  $C_a(P_{total}) = \frac{1}{2} W \left[ \log_2 \left( \frac{2\gamma_A + \gamma_B}{2(\gamma_A + \gamma_B)} + \frac{\gamma_A\gamma_B}{2(\gamma_A + \gamma_B)P_{total}} \right) + \log_2 \left( \frac{2\gamma_A + \gamma_B}{2\gamma_A} + \frac{1}{2}\gamma_B P_{total} \right) \right]$

$$C_b(P_{total}) = \frac{1}{2} W \left[ \log_2 \left( \frac{\gamma_A + 2\gamma_B}{2(\gamma_A + \gamma_B)} + \frac{\gamma_A\gamma_B}{2(\gamma_A + \gamma_B)P_{total}} \right) + \log_2 \left( \frac{\gamma_A + 2\gamma_B}{2\gamma_B} + \frac{1}{2}\gamma_A P_{total} \right) \right]$$

$$C_c(P_{total}) = \frac{1}{2} W \left[ \log_2 \left( 1 + \frac{\gamma_A\gamma_B}{\gamma_A^2 + \gamma_A\gamma_B + \gamma_B^2} P_{total} \right) + \log_2 \left( 1 + \frac{\gamma_A\gamma_B}{\gamma_A^2 + \gamma_A\gamma_B + \gamma_B^2} P_{total} \right) \right]$$

**Theorem 2:**  $EE_0(P_{total})$  is a strictly quasi-concave function.

So  $EE_0(P_{total})$  is first strictly increasing and then strictly decreasing as a function of  $P_{total}$ , the one and the only one optimal solution  $P_{total}^*$  can be obtained efficiently by bisection. Then the optimal power allocation  $P_A^*, P_B^*, P_R^*$  can be calculated according to Lemma 2.

## Constrained optimization

After solving the unconstrained optimal solution  $P_A^*, P_B^*, P_R^*$  and the corresponding  $P_{total}^*$ , we move on to solve the original constrained problem.

If  $P_A^*, P_B^*, P_R^*$  all fulfill the constraints, they are the optimal constrained solutions. Otherwise, we will obtain the optimal solutions from the quasi-concavity of EE by the following steps, the basic idea is to confirm several nodes' optimal power and reduce the range of the other nodes' power optimization interval.

**Lemma 3:** The optimal solution of EE optimization problem for TWRC must satisfy one of the situations in (1)(2)(3).

Then from (1)(2)(3) the system capacity and EE can be rewritten as  $C(P_A, P_B) = \frac{1}{2} [C_A(P_A) + C_B(P_B)]$ ,  $EE(P_A, P_B) = \frac{C(P_A, P_B)}{\frac{1}{2\eta}(P_A + P_B + P_c(P_A, P_B)) + P_c}$ , where  $P_c(P_A, P_B) = \max(P_A\gamma_A/\gamma_B, P_B\gamma_B/\gamma_A)$ .

**Step 1:** According to the power constraints  $P_{i,max}$ , correspondingly calculate three total transmit power  $P_{total,i}$  based on Lemma 2. Pick out the node  $k = \arg \min(P_{total,i})$ , and calculate  $P_A^0, P_B^0, P_R^0$  from  $P_{total,k}$ . From the quasi-concavity of EE,  $P_{k,max}$  is the constrained optimal power for node  $k$ .

**Step 2:**

1)  $k$  is a source node, consider the case  $k=A$ .

a) If  $P_B^0 < P_B^0\gamma_A/\gamma_B$ , optimize  $\max_{P_B} \frac{1}{2} W [C_A(P_{A,max}) + C_B(P_B)]$   
 $\frac{1}{2\eta} (P_{A,max} + P_B + P_c^0) + P_c$   
 s.t.  $P_B^0 \leq P_B \leq \min(P_B^0\gamma_A/\gamma_B, P_{B,max})$

If the solution is  $P_B^0\gamma_A/\gamma_B$ , refresh  $P_B^0 = P_B^0\gamma_A/\gamma_B$  and turn to b). Otherwise, the derived  $P_B$  and  $P_R^0$  are constrained optimal.

b) If  $P_B^0 = P_B^0\gamma_A/\gamma_B$ , optimize  $\max_{P_B} \frac{1}{2} W [C_A(P_{A,max}) + C_B(P_B)]$   
 $\frac{1}{2\eta} (P_{A,max} + P_B + P_B\gamma_B/\gamma_A) + P_c$   
 s.t.  $P_B^0 \leq P_B \leq \min(P_{B,max}\gamma_A/\gamma_B, P_{B,max})$

the derived  $P_B$  and corresponding  $P_R = P_B\gamma_B/\gamma_A$  are constrained optimal.

2) If  $k=R$ .

a) If  $P_A^0, P_B^0, P_{R,max}$  fulfill (3), they are the optimal constrained solutions.

b) If (1) or (2) is fulfilled, consider the case (1).  $P_A^0$  is optimal, and we need optimize  $P_B$  from

$$\max_{P_B} \frac{1}{2} W [C_A(P_A^0) + C_B(P_B)]$$

$$\frac{1}{2\eta} (P_A^0 + P_B + P_{R,max}) + P_c$$

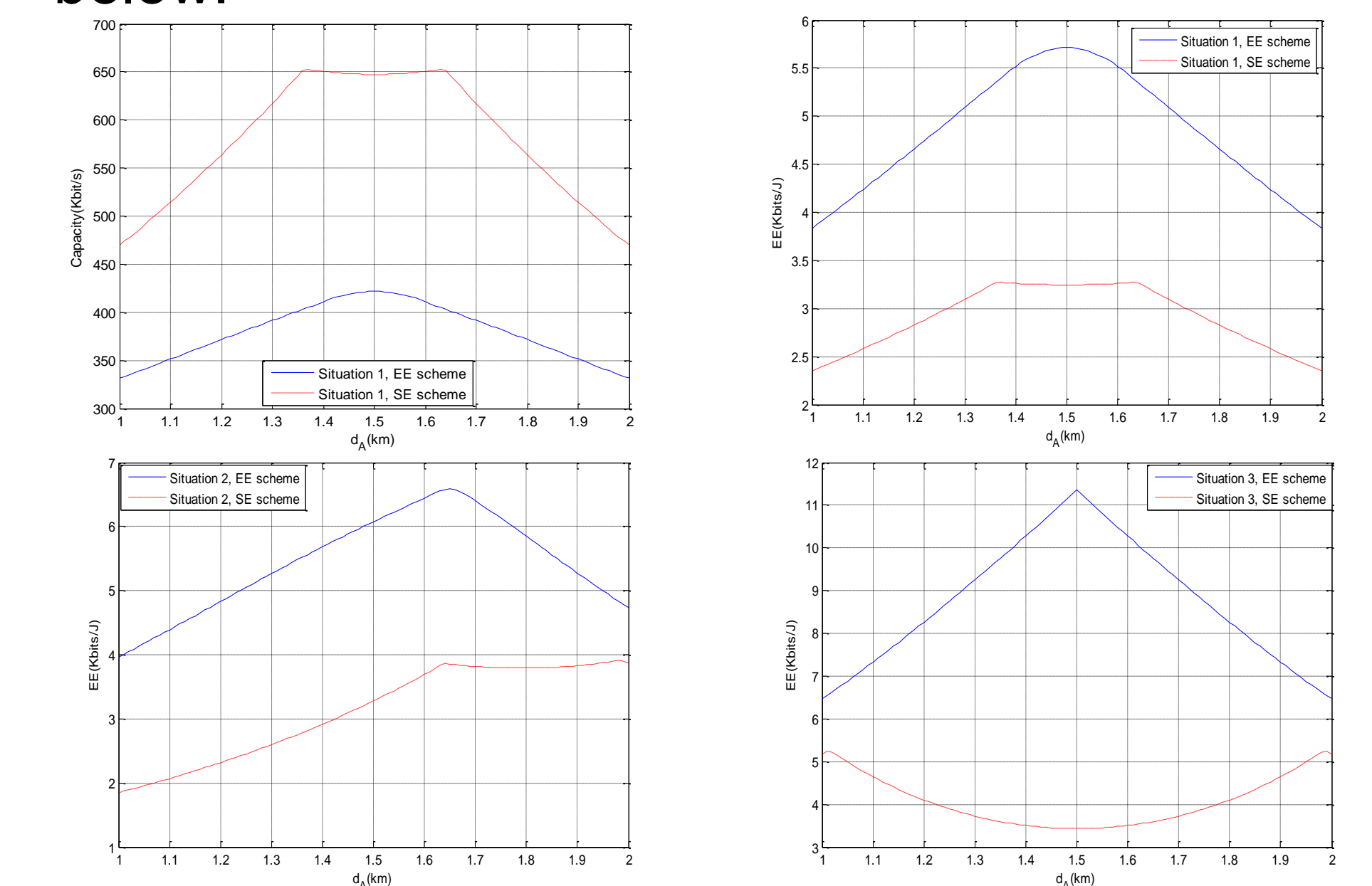
s.t.  $P_B^0 \leq P_B \leq \min(P_{R,max}\gamma_A/\gamma_B, P_{B,max})$

## Simulation Results

Set pathloss as  $128.1 + 37.6 \log_{10} d_i$  dB, noise power is  $-100$  dBm,  $W=200$  KHz,  $\eta = 0.38$ . And we set three situations as follows.

	Node A	Node R	Node B
Situation 1	Base station	Relay	Base station
Situation 2	Base station	Relay	Mobile Phone
Situation 3	Mobile phone	Relay	Mobile Phone

The capacity comparison for situation 1 and EE comparison for each comparison are shown as below:



We can conclude that:

1. Our scheme is efficiency on EE, but has a capacity loss. We should find a trade-off in practice.
2. The **relay** should be located closer to the node with stricter power constraint.
3. Reducing the **circuit power** is also a very important part for the EE goal.

And for these simulations above, our proposed scheme need 0.2532s on average and the convex-concave fractional programs need 0.7952s, so the **complexity** of the algorithm proposed by this paper is much less than the convex-concave fractional programs.