# Energy Consumption Tradeoff Between Network and User Equipment in Small Cell Networks

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## Outline



#### Introduction

The Ads and Disads of Small Cell Deployment

#### Our Contribution

- Brief Introduction of the work
- System Model and Problem Formulation
- Proposed Algorithms
- Simulation Results

Introduction Our Contribution

Basic Problem

### Outline



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Basic Problem

The Advantages of Small Cell Deployment

Alleviate the pressure from the scarcity of spectrum resources

Improve the spectrum efficiency of the cellular network

Enhance indoor coverage and cell edge performance

Reduce the energy consumption of user equipment

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**Basic Problem** 

# The Disadvantages of Small Cell Deployment

- eNB energy efficiency decrease due to unwise deployment of small cells
- Interference problems: co-tier, cross-tier
- Network energy consumption increase due to the overspread of small cells

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# Main Contribution

Main Objective: decrease the energy consumption of the network, as well as the energy consumption of the UEs. Main Difference: take the energy consumption of the UE into consideration

The Energy consumption tradeoff between network and UEs

- When all small cells are wake up
- When all small cells are sleep
- Current work: Seperately consider the energy consumption of the network and UEs, or only consider the energy consumption of the network.

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# Outlines

- propose a novel optimization target by taking a joint consideration of network and UEs energy consumption and research into the energy consumption tradeoff between UEs and HeNBs network.
- model the optimization problem into SSCPLP (Single Source Capacitated Plant Location Problem) and come up with a small cell sleep/wake up mode selection scheme
- propose algorithms with low complexity and high performance
  - Modified Exhaustive Algorithm
  - Simple Heuristic Algorithm
  - Optimal Solution achived by LINGO

Introduction **Our Contribution** 

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# System Model

- N uplink cellular users  $(UE_1, UE_2, \cdots, UE_n, \cdots, UE_N)$
- K HeNBs (HeNB<sub>0</sub>(eNB), HeNB<sub>1</sub>, · · · , HeNB<sub>k</sub>, · · · , HeNB<sub>K</sub>)



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# Assumptions

- CSI acquisition: *HeNB<sub>k</sub>* can acquire information of channel state information between itself and all the terminals connected with it and feedback to the Macro BS.
- Centralized scheme: Macro BS is always on and decides the global states of the *HeNBs* and *UEs*
- Capacitated problem: *HeNB*<sub>k</sub>have limited system throughput *R*<sub>k</sub>
- QoS of UE: *UE<sub>n</sub>* have rate demands of *R<sub>n</sub>*, and each UE could only acess to one *HeNB*
- Orthorgonal resource allocation: Resources assumed to be orthorgonally allocated, interferences are not considered.

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### **Problem Formulation**

• the energy consumption of *HeNB<sub>k</sub>* is:

$$P_{k} = P_{cst} + \sum_{n=1}^{N} a_{nk} \frac{P_{k}^{max}}{\omega}$$
(1)

• the *HeNB*<sub>k</sub>network energy consumption is

$$E_B = \sum_{k=0}^{K} \alpha_k a_k P_k \tag{2}$$

- if  $UE_n$  chooses  $HeNB_k$ ,  $a_{nk}=1$ ; else  $a_{nk}=0$ .  $\sum_{k=1}^{K} a_{nk}=1, \forall n$
- if  $HeNB_k$  is activated,  $a_k=1$ ; else  $a_k=0$ .
- $\alpha_k \in [0,1]$ , implies the weight factor between HeNBs

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## **Problem Formulation**

• the energy consumption of  $UE_n$  is  $P_n = \sum_{k=0}^{K} a_{nk} P_{nk}$ 

$$P_{nk} = (2^{\frac{R_n}{W}} - 1) \frac{WN_0}{h_{nk}}$$

- the  $UE_n$  energy consumption is:  $E_U = \sum_{n=1}^N \beta_n P_n$
- $eta_n \in [0,1]$ , implies the weight factor between UEs

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Problem Formulation Joint energy consumption

• the joint energy consumption is:

min 
$$E_t = E_B + \lambda E_U$$

Namely:

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$$\min_{\{a_{nk},a_k\}} (\sum_{k=0}^{K} \alpha_k a_k P_k + \lambda \sum_{n=1}^{N} \beta_n \sum_{k=0}^{K} a_{nk} P_{nk})$$
(3)

• Substitute (1), thus:

$$\min_{\{a_{nk},a_k\}} \left( \sum_{k=0}^{K} \alpha_k a_k P_{cst} + \sum_{n=1}^{N} \sum_{k=0}^{K} \left( \alpha_k a_{nk} \frac{P_k^{max}}{\omega} + \lambda \beta_n a_{nk} P_{nk} \right) \right) \quad (4)$$

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#### Problem Formulation Joint energy consumption

Original optimization target

$$\min_{\{a_{nk},a_k\}} \left(\sum_{k=0}^{K} \alpha_k a_k P_{cst} + \sum_{n=1}^{N} \sum_{k=0}^{K} (\alpha_k a_{nk} \frac{P_k^{max}}{\omega} + \lambda \beta_n a_{nk} P_{nk})\right) \quad (5)$$

- Cost matrix:  $c_{nk} = \frac{\alpha_k P_k^{max}}{\omega} + \lambda \beta_n P_{nk}$  (known)
- Fixed cost of  $HeNB_k$ :  $f_k = \alpha_k P_{cst}$  (known)
- Reformed optimization target

$$\min_{\{a_{nk},a_k\}} \left( \sum_{k=0}^{K} f_k a_k + \sum_{n=1}^{N} \sum_{k=0}^{K} c_{nk} a_{nk} \right)$$
(6)

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# Problem Formulation

Joint energy consumption

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$$\min_{\{a_{nk},a_k\}} \left( \sum_{k=0}^{K} f_k a_k + \sum_{n=1}^{N} \sum_{k=0}^{K} c_{nk} a_{nk} \right)$$
(7)

Subject to

$$\sum_{n=1}^{N} a_{nk} R_{nk} \le a_k R_k, k = 0, 1..., K$$
(8)

$$P_{nk} = (2^{\frac{R_n}{W}} - 1)\frac{WN_0}{h_{nk}} \tag{9}$$

$$\sum_{k=0}^{K} a_{nk} = 1, n = 1, 2..., N$$
(10)

$$a_{nk} = \{0,1\}, k = 0, 1...K, n = 1, 2...N$$
 (11)

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# Two Stages of SSCPLP

SSCPLP: Single Source Capacitated Plant Location Problem Traditional Solutions:

- Genetic based algorithm
- GRSAP Algorithm,
- Tabu Search Algorithm

Most of the algorithms consider two seperate stages:

- The choice of the open plant  $a_k$
- **2** The Allocation Subproblem  $a_{nk}$

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# The Second Stage: SSTP

- Feature: NP hard problem
- Analysis: The Allocation Subproblem can be modeled into SSTP(Single Source Transportation Problem), TP(Transprotation Problem) is relaxation model of SSTP, TP can be solved with Table Dispatching Method
- Proposed Solution: Modified Table Dispatching Method

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# Modified Table Dispatching Method

- ADD a virtual  $UE_{N+1}$ ,  $R_{N+1} = \sum_{k=0} R_k \sum_{n=1} R_n$ ,  $c_{(n+1)k} = M$ , M is sufficiently large.
- Get\_Minimal the minimal  $c_{nk}$ , namely  $(p,q) = \arg \min c_{nk}$ , by forsearching a table of cells with N+1 columns and K rows.

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- If  $R_p \le R_q$ , let  $R_{pq} = R_p$ , fill in the cell (p,q) with  $R_{pq}$ , and cross out column p. Substitute  $R_q$  with  $R_q - R_p$ , make  $f_q = 0$  and turn to Get\_Minimal;
- else if  $R_p > R_q$ , get the minimal  $c_{nk}$  besides  $c_{pq}$ , namely  $(p,q) = \underset{c_{nk} \neq c_{pq}}{\operatorname{arg\,min} c_{nk}}$ , and turn to Get\_Minimal.

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Fill in the last column with the remaining  $R_q$ , and a feasible solution is achieved.

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# Modified Table Dispatching Method

Get Potential get the potentials of the feasible solution.

- () for any  $\mu_n$  (or  $v_k$ ), for those cells (k, n) which are allocated with rates,  $\mu_n$ ,  $v_k$  can be decided according to  $\mu_n + v_k = c_{nk}, \forall n = 1, 2, ..., M, k = 1, 2, ..., K$ .
- 2 Check all the cells that are not allocated rates if they are  $\mu_n + v_k \leq c_{nk}$ ,
  - if yes, the optimal solution has been achieved;
  - 2 if not, mark the cells that violates the potentials.

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CHECK if all the cells are examed. If yes, go to stop; if not, continue;

ADJUST the allocation table we achieved in this way: randomly choose a unexamined cell (n, k), there must be a closed circle (k, n), (r, n), (r, N+1),(k, N+1),

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## Modified Table Dispatching Method

where (r, n), (r, N+1), (k, N+1) belongs to the cells allocated with rates.

- If  $R_{rn} \leq R_{k(N+1)}$ , move  $R_{rn}$  from (r,n) to (k,n); make  $R_{r(N+1)} = R_{r(N+1)} + R_{rn}$ ,  $R_{k(N+1)} = R_{k(N+1)} R_{rn}$ ; go to Get Potential.
- If R<sub>rn</sub> > R<sub>k(N+1)</sub>, check whether there exist (k,m), (p,m) that satisfy
  - **1**  $R_{km} > 0;$
  - **2**  $R_{rn} \leq R_{km} + R_{k(N+1)};$
  - $p \neq r \text{ and } R_{p(N+1)} \geq R_{km} \text{ or } p = r \text{ and } R_{rn} + R_{r(N+1)} \geq R_{km} ;$
  - **a**  $R_{km}(c_{pm}-c_{km})+R_{rn}(c_{kn}-c_{rn})<0.$ 
    - If yes, move R<sub>rn</sub> from (r, n) to (p, m), turn to Get Potential;

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• else turn to CHECK.

#### STOP

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# Modified Exhaustive Algorithm

- Classify the K HeNBs and N UEs into two classes: dense and sparse
- iterate
  - for sparsely located HeNBs and UEs
    - decide  $a_k$  and  $a_{nk}$  with simple calculation
  - end
  - until all HeNBs and UEs are allocated.
    - for densely located HeNBs and UEs
      - decide  $a_k$  and  $a_{nk}$  with exhaustive searching
    - end

until all HeNBs and UEs clusters are allocated.

- Record the minimal value,
- Output the states of  $HeNBs a_k$ , allocation matrix  $a_{nk}$ .

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# Simple Heuristic Algorithm

- Split  $f_k$  to  $c_{nk}$ , namely  $c_{nk}' = c_{nk} + f_k/m_{nk}, m_{nk} = \min\{R_k, R_n\}.$
- Consider a table of cells with N+1 columns and K rows.
- iterate
- for get minimal  $c'_{nk}$  among all  $c'_{nk}$ , namely  $(p,q) = \arg\min c'_{nk}$ 
  - if  $R_p \leq R_q$ ,
    - Let  $R_{pq} = R_p$ , and fill in the cell (p,q) with  $R_{pq}$ ,
    - Cross out column p,
    - Substitute  $R_q$  with  $R_q R_p$  and set  $f_q = 0$
    - Continue;
  - else  $R_p > R_q$ ,
    - get the minimal  $c'_{nk}$  besides  $c'_{pq}$ , namely  $(p,q) = \underset{\substack{c_{nk} \neq c_{nq}}{\operatorname{arg min}} c'_{nk}$
    - Continue.
- end
- until cross out all columns except the last column.
- Record activated *HeNBs*, solve the problem using *SSTP* model. ∽ Y. Jiang, G. Yu, J. Wu, R. Yin Energy Consumption Tradeoff

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## Simulation Parameters

- Simulation situation
  - N active cellular users
  - K HeNBs
  - UEs: random distribution; HeNBs: PPP distribution

$$\alpha_k = 1, \ \beta_n = 1 \forall k, n.$$

- Assumptions
  - all *UEs* have the same rate requirement and share equal bandwidth *W*
  - all the HeNBs have the identical transmit power  $P_{kmax}$ .
- Algorithms for comparison
  - Optimal solution : achieved by LINGO
  - Optimal algorithm: SSCPLP Modified Exhaustive Algorithm
  - Proposed heuristic algorithm: SSCPLP Simple Heuristic Algorithm

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## Simulation Parameters

Parameters	Values	Parameters	Values
eNB coverage=1Km	1 Km	Pcst of HeNB	0.5w
HeNB coverage	100 m	Pcst of eNB	25w
Rn	5Mbps	Capacity of HeNB	25 Mbps
Bandwidth	5 MHz	Pkmax of HeNB	0.05w
Pass loss	4	Pkmax of eNB	0.1w

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#### Simulation Results



Figure : the performance of different algorithms(*the number of UEs*: 100)

#### Simulation Results



Figure : The energy consumption of network (the number of UEs: 500)

#### Simulation Results



Figure : The energy consumption of UEs(the number of UEs: 500)

#### Simulation Results



Figure : the energy consumption tradeoff between network and UEs (the number of UEs: 500; the number of HeNBs: 50)

#### Simulation Results



Figure : the energy efficiency of eNB with different  $\lambda$  (the number of UEs: 500; the number of HeNBs: 10)

#### Simulation Results



Figure : the percentage of active HeNBs with different  $\lambda$  (the number of UEs: 500; the number of HeNBs: 10)

# Summary

#### What We Do?

• joint consideration of the network and *UEs* What We Achieve?

- SSCPLP: Optimal, suboptimal, heuristic
- Conclusion:
  - the more energy efficient *UEs* are, the less energy efficient the network would be
  - tradeoff
- Meaning: provides a reference for the network operator to control the modes of *HeNBs* in the cell.

# The Future Work

- This paper: interference free, single cellular, locations of HeNBs fixed, with radio resources such as spectrum and time intervals allocated
- Future work
  - Evaluate and model the interference between small cells.
  - balance among interference, throughput, and energy efficiency
  - Multicell
  - channel allocation, power control
- the optimization proble: very complex non-convex nonlinear problem

Thanks for your listening !!!



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